

Boiling Spring Lakes Dams Construction/Reconstruction Prequalification Application Form

Pursuant to the statute, this form gathers information about the contractors seeking to qualify for the work and provides a general format for the prequalification criteria. **Completing this questionnaire does not guarantee prequalification.** Evaluation of this application will be performed by the prequalification committee in accordance with GS 143-128, GS 143-128.2, 143-135.8, and the City of Boiling Spring Lakes Prequalification Policy (all four attached to RFQ). **All questions must be addressed in order to be considered a completed application.**

PREQUALIFICATION DUE DATE/TIME: _____
(date) (time)

Submitted to: _____

Contact Name receiving prequalifying packages

Address

Address

City/State Zip Code + 4

Phone number Fax Number

E-mail address

Project: _____

Name of Project

Project Owner

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SECTION 1. GENERAL COMPANY INFORMATION

Primary/Main office location

Company Name

Physical Address

Mailing Address

City/State Zip Code + 4

(_____) _____
Phone number

(_____) _____
Fax number

Primary Contact Name

Secondary Contact Name

Primary Contact Email Address

Secondary Contact Email Address

Organization

Business type (check box)

Corporation Partnership Limited Liability Company Sole Proprietor Joint Venture

Is your firm owned or controlled by a parent or any other organization? Yes No

If yes, describe Ownership: _____

List all other names your firm has operated as for the past five (5) years: _____

How long has the firm been in business in its current organizational structure? : _____

Provide the full legal names of all individuals authorized to sign legal documents for the Company:

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Licensing Information

Please provide all North Carolina professional licenses required for you to perform your services:

Name of licensee /NC License number

License Limit/Level

Has any license ever been denied or revoked? Yes No

If yes, please describe: _____

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SECTION 2. MINIMUM CRITERIA FOR PREQUALIFICATION

All of the questions in this Section must be addressed in order to be considered a completed application.

- A. Is your firm registered to do business in the State of North Carolina? Yes No
- B. Is your firm a licensed contractor in the State of North Carolina? Yes No
- C. Does your company have the resources to bond this project? Yes No
- D. Please attach a **surety letter**, dated within the last 30 days, from your surety company, signed by their Attorney in Fact, verifying their willingness to issue sufficient payment and performance bonds for this project, on behalf of your firm and the dollar limits of that bond commitment, both single and aggregate. Surety company bond rating shall be rated "A" or better under the A.M. Best Rating system or The Federal Treasury List.
- a. Have you attached a surety letter? Yes No
- b. Surety bond rating A or better under the A.M. Best Rating system or The Federal Treasury List?
 Yes No
- E. The minimum requirements of coverage and anticipated contract language related to insurance and indemnification are presented below. Firms must indicate that they can provide evidence of adequate insurance coverage by attaching a copy of their insurance certificate or commitment to provide the required insurance if selected as the Successful Bidder.

INSURANCE: The Successful Bidder (Contractor) agrees to keep and maintain for the duration of this Agreement including but not limited to commercial general liability, auto liability, professional liability, workers' compensation, employer's liability, and umbrella coverage with at least the minimum limits shown below. The Contractor shall furnish the City with certificates of insurance for each type of insurance described herein, with the City listed as Certificate Holder and as an additional insured on the Contractor's general liability policy and provide a waiver of subrogation on the Contractor's general liability and workers' compensation policy. In the event of bodily injury or property damage loss caused by the Contractor's negligent acts or omissions in connection with Contractor's services performed under this Agreement, the Contractor's Liability insurance shall be primary with respect to any other insurance which may be available to the City, regardless of how the "Other Insurance" provisions may read. In the event of cancellation, substantial changes or nonrenewal, the Contractor and Contractor's insurance carrier shall give the City at least thirty (30) days prior written notice. No work shall be performed until the Contractor has furnished to the City the above referenced certificates of insurance and associated endorsements, in a form suitable to the City.

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1. Commercial General Liability, Occurrence	
Each occurrence:	\$1,000,000
Damage to Rented Premises (each occurrence)	\$100,000
Medical Expense (any one person)	\$5,000
Personal and Advertising Injury	\$1,000,000
General Aggregate	\$2,000,000
Products and Completed Operations, Aggregate	\$2,000,000
2. Automobile Liability for:	
Any Auto, Hired Autos, Non-Owned Autos	
Combined Single Limit, Each Accident	\$1,000,000
3. Excess/Umbrella Liability, Occurrence	
No deductible, \$0 Retention	
Each Occurrence	\$10,000,000
Aggregate	\$10,000,000
4. Workman's Compensation	
State:	Statutory
Applicable Federal :	Statutory
5. Employer's Liability:	
Each Accident	\$100,000
Disease – Each Employee	\$100,000
Disease – Policy Limit	\$500,000

INDEMNIFICATION: The Successful Bidder (Contactor) shall indemnify, defend and hold harmless the City and its subsidiaries, divisions, officers, directors, agents and employees from all liability, loss, costs, claims, damages, expenses, attorney fees, judgments and awards arising or claimed to have arisen, from any injury caused by, or allegedly caused by, either in whole or in part, any act or omission of the Contractor or any employee, agent or assign of the Contractor. This provision is not applicable to any claim arising out of or related to any active or primary negligence of or by the City, its officers or employees. Nothing herein shall be construed as a waiver on the part of the City to any defense of any claim, including, but not limited to the defense of governmental immunity.

- a. Is your company capable of meeting the minimum Insurance requirements? Yes No
- b. Have you attached a copy of your insurance certificate? Yes No

- F. Is your present company, its officers, owners, or agents ever been barred from bidding public work in North Carolina?
 Yes No

- G. Has your present company, its officers, owners, or agents been convicted of charges relating to conflicts of interest, bribery, or bid-rigging in the last five years? Yes No

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SECTION 3. ADDITIONAL COMPANY INFORMATION

A. Type of Work Performed on a Regular Basis

Primary Scope of Work: _____

Secondary Scope of Work: _____

Other Scope of Work: _____

What type of work do you self-perform? _____

Number of dam rehabilitation projects completed in the last 3 years? _____

B. Safety Record

- a. List your company's Experience Modification Rate (EMR) for past three years. (Attach OSHA 300 Log for the last 3 years.)

_____	_____	_____
Current Year EMR	Previous Year EMR	Year Before Last EMR

- b. Please provide proof of Experience Modification Rate of the last 3 years. Is required OSHA reporting to substantiate EMR included? Yes No

C. Safety Record

- a. Have your company ever been fined by OSHA? Yes No

- b. List any OSHA fines and Jobsite fatalities in the past 3 years with an explanation:

- c. Please attached your OSHA 300 log for the last three years. Have you attached your OSHA 300 log for the last three years? Yes No

D. Financials

- a. Attach latest balance sheet and income statement, if available, based on company type. Audited statements preferred. If not available, attach a copy of the latest annual renewal submission to the relevant licensing board. (Firm must submit financial data and may clearly indicate a request for confidentiality to avoid this item from becoming part of a public record.)

Have you attached a balance sheet? Yes No

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E. Litigation/Claims

- a. Has your company been involved in any judgments, claims, arbitration mediation proceedings, or suits within the last five years, whether resolved or still pending resolution? Yes No

If yes, please state the project name(s), year(s), case number and reason why: _____

- b. Has your company ever failed to complete construction contract within the last 5 years? Yes No

If yes, please provide project name(s), year(s), and reason why: _____

- c. Have you ever paid liquidated damages or compensation to an Owner due to Contractor's lost time (at no fault of the owner) on any project within the last 3 years? Yes No

If yes, please state the project name(s), year(s), and reason why. _____

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SECTION 4 DEMONSTRATE ABILITY TO CONTROL COST/SCHEDULE ON COMPLEX PROJECTS

A. Provide two example projects showing the capability of preparing critical path methods construction schedules.

#1 – Project Name	
Description of Work Performed	
Contract Delivery Method (CM/GC)?	
Owner Name/ Representative	
Owner Address/Phone #/Email	
Engineer Name / Representative	
Engineer Address/Phone #/Email	
Contract Dollar Value	
Percentage Complete	
Current Anticipated Completion Date	
Software Utilized (if applicable)	
#2 – Project Name	
Description of Work Performed	
Contract Delivery Method (CM/GC)?	
Owner Name/ Representative	
Owner Address/Phone #/Email	
Engineer Name / Representative	
Engineer Address/Phone #/Email	
Contract Dollar Value	
Percentage Complete	
Current Anticipated Completion Date	
Software Utilized (if applicable)	

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B. Provide two example projects that demonstrate SOPs used for tracking submittals, RFIs, project costs, etc.

#1 – Project Name	
Description of Work Performed	
Contract Delivery Method (CM/GC)?	
Owner Name/ Representative	
Owner Address/Phone #/Email	
Engineer Name / Representative	
Engineer Address/Phone #/Email	
Contract Dollar Value	
Percentage Complete	
Current Anticipated Completion Date	
#2 – Project Name	
Description of Work Performed	
Contract Delivery Method (CM/GC)?	
Owner Name/ Representative	
Owner Address/Phone #/Email	
Engineer Name / Representative	
Engineer Address/Phone #/Email	
Contract Dollar Value	
Percentage Complete	
Current Anticipated Completion Date	

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SECTION 5. PROJECT SPECIFICS REQUIREMENTS

A. The assigned project superintendent for this project shall be: _____.

Please include a resume of the assigned project superintendent for this project.

Have you included a resume? Yes No

The experience this superintendent has on this specific type of project is:

_____ 0-2 _____ 3-4 _____ 5-10 _____ >10 years.

B. The assigned project manager for this project shall be _____.

Please include a resume of the assigned project manager. Have you included a resume? Yes No

The experience this project manager has on this specific type of project is:

_____ 0-2 _____ 3-4 _____ 5-10 _____ >10 years

Similar Projects

C. List up to three current or completed projects performed as general contractor on a dam rehabilitation project with a contract value of at least \$10M in the last 10 years. Include contact information from owner or engineer.

#1 – Similar - Project Name	
Description of Work Performed	
Contract Delivery Method (CM/GC)?	
Owner Name/ Representative	
Owner Address/Phone #/Email	
Engineer Name / Representative	
Engineer Address/Phone #/Email	
Contract Dollar Value	
Percentage Complete	
Current Anticipated Completion Date	

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#2 – Similar - Project Name	
Description of Work Performed	
Contract Delivery Method (CM/GC)?	
Owner Name/ Representative	
Owner Address/Phone #/Email	
Engineer Name / Representative	
Engineer Address/Phone #/Email	
Contract Dollar Value	
Percentage Complete	
Current Anticipated Completion Date	

#3 – Similar - Project Name	
Description of Work Performed	
Contract Delivery Method (CM/GC)?	
Owner Name/ Representative	
Owner Address/Phone #/Email	
Engineer Name / Representative	
Engineer Address/Phone #/Email	
Contract Dollar Value	
Percentage Complete	
Current Anticipated Completion Date	

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Details of Past Projects

- D. Experience of general contractor or specialty subcontractor in construction of cutoff walls through a dam and into the dam's foundation.
- a. Describe two or more projects where your company was involved construction of a cutoff wall using a construction method other than a long-arm backhoe to a depth of at least 50 feet. Included contact information from owner or engineer.

Dam Project #1:

Description: _____
Subcontractor or self-performed: _____
Owner/Contact Name/email and phone: _____
Year Completed: _____ Wall Profile Area in Square Feet: _____ Total Construction Cost: _____

Dam Project #2:

Description: _____
Subcontractor or self-performed: _____
Owner/Contact Name/email and phone: _____
Year Completed: _____ Wall Profile Area in Square Feet: _____ Total Construction Cost: _____

Dam Project #3:

Description: _____
Subcontractor or self-performed: _____
Owner/Contact Name/email and phone: _____
Year Completed: _____ Wall Profile Area in Square Feet: _____ Total Construction Cost: _____

- E. Experience of general contractor or specialty subcontractor in construction mix-in-place soilcrete panels through a dam and into the dam's foundation.
- b. Describe two or more projects where your company was involved construction of mix-in-place soilcrete panels to a depth of at least 40 feet. Included contact information from owner or engineer.

Dam Project #1:

Description: _____
Subcontractor or self-performed: _____
Owner/Contact Name/email and phone: _____
Year Completed: _____ Number of Soilcrete Cubic Yards: _____ Total Construction Cost: _____

Dam Project #2:

Description: _____
Subcontractor or self-performed: _____
Owner/Contact Name/email and phone: _____
Year Completed: _____ Number of Soilcrete Cubic Yards: _____ Total Construction Cost: _____

Dam Project #3:

Description: _____
Subcontractor or self-performed: _____
Owner/Contact Name/email and phone: _____
Year Completed: _____ Number of Soilcrete Cubic Yards: _____ Total Construction Cost: _____

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F. Experience with forming and pouring cast-in-place (CIP) concrete spillway structures that included water stops and complex forming and/or reinforcement.

a. Describe two or more projects where your company was involved in pouring more than 5,000 cy of reinforced concrete. Included contact information from owner or engineer.

Dam Project #1:

Description: _____
Subcontractor or self-performed: _____
Owner/Contact Name/email and phone: _____
Year Completed: _____ Number of Concrete Cubic Yards: _____ Total Construction Cost: _____

Dam Project #2:

Description: _____
Subcontractor or self-performed: _____
Owner/Contact Name/email and phone: _____
Year Completed: _____ Number of Concrete Cubic Yards: _____ Total Construction Cost: _____

Dam Project #3:

Description: _____
Subcontractor or self-performed: _____
Owner/Contact Name/email and phone: _____
Year Completed: _____ Number of Concrete Cubic Yards: _____ Total Construction Cost: _____

b. Describe two or more projects where your company was involved in pouring concrete slabs with thickness of more than four feet. Included contact information from owner or engineer.

Dam Project #1:

Description: _____
Subcontractor or self-performed: _____
Owner/Contact Name/email and phone: _____
Year Completed: _____ Number of Concrete Cubic Yards: _____ Total Construction Cost: _____

Dam Project #2:

Description: _____
Subcontractor or self-performed: _____
Owner/Contact Name/email and phone: _____
Year Completed: _____ Number of Concrete Cubic Yards: _____ Total Construction Cost: _____

Dam Project #3:

Description: _____
Subcontractor or self-performed: _____
Owner/Contact Name/email and phone: _____
Year Completed: _____ Number of Concrete Cubic Yards: _____ Total Construction Cost: _____

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G. Experience in dam construction or dam rehabilitation project that requires stream diversion using cofferdams.

- a. Describe two or more projects requiring a cofferdam with a height of at least 10 feet. Include contact information from owner or engineer.

Dam Project #1:

Description: _____
Subcontractor or self-performed: _____
Owner/Contact Name/email and phone: _____
Year Completed: _____ Height of Cofferdam in Feet: _____ Total Construction Cost: _____

Dam Project #2:

Description: _____
Subcontractor or self-performed: _____
Owner/Contact Name/email and phone: _____
Year Completed: _____ Height of Cofferdam in Feet: _____ Total Construction Cost: _____

Dam Project #3:

Description: _____
Subcontractor or self-performed: _____
Owner/Contact Name/email and phone: _____
Year Completed: _____ Height of Cofferdam in Feet: _____ Total Construction Cost: _____

- b. Describe one or more projects involving a steel sheet pile cofferdam. Include contact information from owner or engineer.

Dam Project #1:

Description: _____
Subcontractor or self-performed: _____
Owner/Contact Name/email and phone: _____
Year Completed: _____ Max. Sheet Pile Length in Feet: _____ Total Construction Cost: _____

Dam Project #2:

Description: _____
Subcontractor or self-performed: _____
Owner/Contact Name/email and phone: _____
Year Completed: _____ Max. Sheet Pile Length in Feet: _____ Total Construction Cost: _____

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H. Experience of general contractor or specialty subcontractor in construction of drains.

- a. Describe one or more projects where your company was involved in the installation of blanket and chimney drains.

Dam Project #1:

Description: _____
Subcontractor or self-performed: _____
Owner/Contact Name/email and phone: _____
Year Completed: _____ Number of Drainfill Cubic Yards: _____ Total Construction Cost: _____

Dam Project #2:

Description: _____
Subcontractor or self-performed: _____
Owner/Contact Name/email and phone: _____
Year Completed: _____ Number of Drainfill Cubic Yards: _____ Total Construction Cost: _____

- b. Describe one or more projects where your company was involved in the installation of a filter diaphragm.

Dam Project #1:

Description: _____
Subcontractor or self-performed: _____
Owner/Contact Name/email and phone: _____
Year Completed: _____ Number of Drainfill Cubic Yards: _____ Total Construction Cost: _____

Dam Project #2:

Description: _____
Subcontractor or self-performed: _____
Owner/Contact Name/email and phone: _____
Year Completed: _____ Number of Drainfill Cubic Yards: _____ Total Construction Cost: _____

I. Experience of general contractor or specialty subcontractor in excavation and dewatering.

- a. Describe one or more projects where your company was involved in the dewatering and maintaining dry conditions in an excavation using a method other than sumps.

Dam Project #1:

Description: _____
Subcontractor or self-performed: _____
Owner/Contact Name/email and phone: _____
Year Completed: _____ Depth of Excavation in Feet: _____ Total Construction Cost: _____

Dam Project #2:

Description: _____
Subcontractor or self-performed: _____
Owner/Contact Name/email and phone: _____
Year Completed: _____ Depth of Excavation in Feet: _____ Total Construction Cost: _____

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SECTION 6. SIGNATURE AND AFFIDAVIT

By signing this document, you are acknowledging that all answers are true to the best of your knowledge. **Any answers found to be falsified will bar you from being prequalified on this project.**

Company Name (as licensed in NC)

Physical Address

Mailing Address

a. Dated this day of: _____

Submitted by: _____
Signature By Authorized Officer

Print Title of Authorized Officer

Phone: _____
Contact person's phone number

E-mail: _____
Contact person's E-mail address

b. Notary Certification:
North Carolina
_____ County

I, a Notary Public of the County and State aforesaid, certify that _____, personally appeared before me this day and acknowledged the execution of the foregoing instrument. Witness my hand and official seal, this the _____ day of _____, 20 ____.

(Official Notary Seal or Stamp)

Signature of Notary Public
My commission expires ____, 20 ____